



# Digital Signatures and PKI

Centre for Development of Advanced Computing (C-DAC) Bangalore Under the Aegis of Controller of Certifying Authorities (CCA) Government of India







- ✓ Dimensions of PKI
- ✓ Paper World Vs Electronic World
- ✓ Why Digital Signature?
- ✓ What is Digital Signature?
- ✓ Achieving Confidentiality
- ✓ What is Digital Signature Certificate?
- ✓ Certifying Authority & Trust Model
- ✓ Certificate Issuance, Types, Classes
- $\checkmark\,$  Certificate Life Cycle Management and Validation Methods
- $\checkmark\,$  Risks and Precautions with DS
- ✓ Policy and Legal Aspects of PKI
- ✓ Case Study
- ✓ PKI Applications in India













- PKI Public Key Infrastructure ecosystem is an intersection of:
  - Cryptography (Math) & Technology Cryptographers/Researchers
  - Policy & Law PKI System & Users
  - Implementation PKI System Developer







### **Technology Perspective**









## Paper Records v/s Electronic Records





### Paper Records v/s Electronic Records



	Paper Record	Electronic Record
Document Form	Physical	Digital
Very easy to make copies	No	Yes
Very fast distribution	No	Yes
Archival and Retrieval	Challenging	Easy
Copies are as good as original	No. Copies are easily distinguishable	Yes
Easily modifiable	No	Yes
Environmental Friendly	No	Yes





www.facebook.com/pkiindia



**Trust-worthiness in Transactions** 



The following properties must be assured:

- **Privacy (Confidentiality):** Ensuring that only Authorized persons should read the Data/Message/Document
- Authenticity: Ensuring that Data/Message/Document are genuine
- **Integrity** : Ensuring that Data/Message/Document are unaltered by unauthorized person during transmission
- **Non-Repudiation:** Ensuring that one party of a transaction cannot deny having sent a message



### Paper Records v/s Electronic Records



	Paper Record	Electronic Record
Privacy (Confidentiality)	Sealed Envelope	Digital Envelope
Authenticity	Hand Signature	Digital Signature
Integrity	Hand Signature	Digital Signature
Non-Repudiation	Hand Signature but it is Challenging	Digital Signature

www.facebook.com/pkiindia

 $\bigcirc$ 

CCA









### Threats: Packet Sniffing





### **Breach of Confidentiality**









www.facebook.com/pkiindia







Veeru



Jai

सीडेक

CDAC





# Why Digital Signature?







- To provide Authenticity, Integrity and Non-repudiation to electronic documents
- To enable the use of Internet as the safe and secure medium for e-Commerce and e-Governance









### **Mathematical Perspective**







- Major cryptographic components for creating Digital Signature are:
  - Hash Functions
  - Asymmetric Key Cryptography







- A hash function is a cryptographic mechanism that operates as one-way function
  - Creates a digital representation or "fingerprint" (Message Digest)
  - Fixed size output
  - Change to a message produces different digest

Examples : MD5, Secure Hashing Algorithm (SHA)













cfa2ce53017030315fde705b9382d9f4









#### Message



🔄 @pkiindia

www.facebook.com/pkiindia



### Asymmetric Key Cryptography



- Also called as Public Key Cryptography
- Uses a related key pair wherein one is Private key and another is Public key
  - One for encryption, another for decryption
- Knowledge of the *encryption* key doesn't give you knowledge of the *decryption* key
- A tool generates a related key pair (public & private key)





### RSA Key pair (including Algorithm identifier) [2048 bit]





#### **Private Key**

3082	010a	0282	0101	00b1	d311	e079	5543	0708	4ccb	0542	00e2	0d83
463d	e493	bab6	06d3	0d59	bd3e	c1ce	4367	018a	21a8	efbc	ccd0	a2cc
b055	9653	8466	0500	da44	4980	d854	0aa5	2586	94ed	6356	ff70	6ca3
a119	d278	be68	2a44	5e2f	cfcc	185e	47bc	3ab1	463d	lef0	b92c	345f
8c7c	4c08	299d	4055	eb3c	7d83	deb5	f0f7	8a83	0ea1	4cb4	3aa5	b35f
5a22	97ec	199b	c105	68fd	e6b7	a991	942c	e478	4824	1a25	193a	eb95
9c39	0a8a	cf42	b2f0	1cd5	5ffb	6bed	6856	7b39	2c72	38b0	ee93	a9d3
7b77	3ceb	7103	a938	4a16	6c89	2aca	da33	1379	c255	8ced	9cbb	f2cb
5b10	f82e	6135	c629	4c2a	d02a	63d1	6559	b4f8	cdf9	f400	84b6	5742
859d	32a8	f92a	54fb	ff78	41bc	bd71	28f4	bb90	bcff	9634	04e3	459e
a146	2840	8102	0301	0001								

### **Public Key**

 3082
 01e4
 f267
 0142
 0f61
 dd12
 e089
 5547
 0f08
 4ccb
 0542
 00e2
 0d83
 463d

 e493
 bab6
 0673
 0d59
 bf3e
 c1ce
 4367
 012a
 11a8
 efbc
 ccd0
 a2cc
 b055
 9653

 8466
 0500
 da44
 4980
 d8b4
 0aa5
 2586
 94ed
 6356
 ff70
 6ca3
 a119
 d278
 be68

 2a44
 5e2f
 cfcc
 185e
 47bc
 3ab1
 463d
 1df0
 b92c
 345f
 8c7c
 4c08
 299d
 4055

 eb3c
 7d83
 deb5
 f0f7
 8a83
 0ea1
 4cb4
 3aa5
 b35f
 5a22
 97ec
 199b
 c105
 68fd

 e6b7
 a991
 942c
 e478
 4824
 1a25
 193a
 eb95
 9c39
 0a8a
 cf42
 b250
 1cd5
 5ffb

 6bed
 6856
 7b39
 2c72
 38b0
 ee93
 a9d3
 7b77
 3ceb
 7103
 a938
 4









www.facebook.com/pkiindia







### Matrix of Knowledge of Keys



Key details	A should know	B should know
A's private key	Yes	No
A's public key	Yes	Yes
B's private key	No	Yes
B's public key	Yes	Yes









### **Implementation Perspective**









# Digital Signature





### Hand Signature Vs Digital Signature



- A *Hand Signature* on a document is
  - a unique pattern dependant on some secret known only to the signer and
  - Independent of the content of the message being signed
- A *Digital signature* of a message is
  - a number dependent on some secret known only to the signer and
  - Dependent on the content of the message being signed
- Properties of Signatures
  - Must be verifiable
  - Provide Authentication
  - Provide Data Integrity
  - Provide Non repudiation









- Hash value of a message when encrypted with the private key of a person is his digital signature on that e-Document
  - Digital Signature of a person therefore varies from document to document thus ensuring authenticity of each word of that document.
  - As the public key of the signer is known, anybody can verify the message and the digital signature









- Key pairs of every individual
  - *Public key*: known to everyone
  - Private key: known only to the owner
- To *digitally sign* an electronic document the signer uses his/her
  *Private key*
- To *verify* a digital signature the verifier uses the signer's *Public key*







# Achieving Authenticity, Integrity and Non-Repudiation using Digital Signatures







This is an example of how to create a message digest and how to digitally sign a document using Public Key cryptography





















a

and

Kev

Digital Signature









www.facebook.com/pkiindia





### **Digital Signature Verification**









CCA








- Signing Private Key of the Signer
- Verification Public Key of the Signer







I agree efcc61c1c03db8d8ea8569545c073c814a0ed755 My place of birth is Gwalior. fe1188eecd44ee23e13c4b6655edc8cd5cdb6f25

I am 62 years old.

0e6d7d56c4520756f59235b6ae981cdb5f9820a0

I am an Engineer. ea0ae29b3b2c20fc018aaca45c3746a057b893e7

I am a Engineer. 01f1d8abd9c2e6130870842055d97d315dff1ea3

- These are digital signatures of same person on different documents
- Digital Signatures are numbers
- They are content and signer dependent







# Achieving Confidentiality







### Asymmetric Key Encryption -Confidentiality











- Encryption Public Key of the Receiver
- Decryption Private Key of the Receiver









# Digital Signature Certificate (DSC)





# What is Digital Signature Certificate (DSC)?



DSC is an electronic document used to prove ownership of a public key. The certificate includes

- Information about its owner's identity,
- Information about the key,
- The Digital Signature of an entity that has verified the certificate's contents are correct.









# Certifying Authority (CA) ?









- Certifying authority is an entity which issues Digital Certificate
- It is a Trusted third party
- CA's are the important characteristics of Public Key Infrastructure (PKI)

### **Responsibilities of CA**

- Verify the credentials of the person requesting for the certificate (RA's responsibility)
- Issue certificates
- Revoke certificate
- Generate and upload CRL





## Sample Certificate



Certificate	? 🗙	Certificate					?×
General Details Certification Path		General Deta	ails Certifica	ation Path			
Certificate Information		Show: <all< td=""><td>&gt;</td><td></td><td>~</td><td></td><td></td></all<>	>		~		
This certificate is intended for the following purpose(s):	_	Field		V	/alue		
<ul> <li>Ensures the identity of a remote computer</li> <li>Proves your identity to a remote computer</li> <li>Protects e-mail messages</li> <li>Ensures software came from software publisher</li> <li>Protects software from alteration after publication</li> <li>Allows data to be signed with the current time</li> </ul>	<	Serial nu Signatur Signatur Sugnatur Valid fro Valid to	umber re algorithm m	3 s M T	1 11 99 e6 b8 ha256RSA IIC sub-CA for londay, Februa uesday, Februa	a3 74 47 9e ab NIC 2011, Sub ary 24, 2014 6 ary 23, 2016 6	
* Refer to the certification authority's statement for details.		Public ke	ey	R	ajendran Balaj SA (2048 Bits)	i, Karnataka, 5	
Issued to: Rajendran Balaji		Subject	Key Identifie	r O	c 34 5a 29 d9 8	36 03 5a 35 19	
Issued by: NIC sub-CA for NIC 2011		30 82 03 61 28 fl 49 6f a3 48 af 6a	1 0a 02 b 13 b2 2 69 19 a 43 4f	82 01 01 ⊐b 82 07 78 61 8∉ ⊐9 36 8b	00 94 at c1 37 c 41 c1 e 61 82 e8	f f2 4f ca 1 9a 5e a2 0 48 da 1c 3 e8 61 d2	
Valid from 2/24/2014 to 2/23/2016		b3 08 b: ec ae 2	1 59 38 9 38 d8	06 ed af 5c 21 07	: 37 ec 9a 7 40 38 80	1 6f a0 50 ) a3 e7 bb	
$\ref{eq:product}$ You have a private key that corresponds to this certificate.		ea de 0a 1a bb 83 1a 7e fi	a 8f f8 1 29 82 f 63 92	55 8f Oa 33 69 77 8d 6d f3	a b2 ea 52 7 cf cb 23 8 2d 33 d8	2 b8 c4 d0 3 e0 f9 8b 3 51 0f 39	~
Issuer State	ment			Edit	Properties	Copy to File.	
	ОК						ж





- The Private key is generated in the crypto module residing in the smart card.
- The key is kept in the memory of the smart card.
- The key is highly secured as it doesn't leave the card, the message digest is sent inside the card for signing, and the signatures leave the card.
- The card gives mobility to the key and signing can be done on any system. (Having smart card reader)









# Hardware Tokens





- They are similar to smart cards in functionality as
  - Key is generated inside the token.
  - Key is highly secured as it doesn't leave the token.
  - Highly portable.
  - Machine Independent.
- iKEY is one of the most commonly used token as it doesn't need a special reader and can be connected to the system using USB port.





- The Private key generated • is to be protected and kept secret. The responsibility of the secrecy of the key lies with the owner.
- The key is secured using
  - PIN Protected Soft token
  - Smart Cards
  - Hardware USB Tokens



Please enter your PIN,

DIN		
 PIN		
 Click here for more	information	





## PIN protected Soft Tokens





- The Private key is encrypted and kept on the Hard Disk in a file, this file is password protected.
- This forms the lowest level of security in protecting the key, as
  - The key is highly reachable.
  - PIN can be easily known or cracked.
  - Soft tokens are not preferred because
    - The key becomes static and machine dependent.
    - The key is in a known file format.





## **General Security Lessons**



- Risks are inherent in any cryptographic system
- PKI is not a one-stop solution for all your security needs
- Any security system is only as safe as the weakest link in a security chain!







## Trust Model





• For a Digital Signature to have legal validity, it must derive its trust from the Root CA certificate









- National Root CA (RCAI) operated by CCA
  - Only issues CA certificates for licensed CAs
- 6 CAs licensed under the National Root CA
  - National Informatics Centre (https://nicca.nic.in)
  - eMudhra (www.e-mudhra.com)
  - TCS (www.tcs-ca.tcs.co.in)
  - nCode Solutions CA(www.ncodesolutions.com)
  - SafeScrypt (www.safescrypt.com)
  - IDRBT CA (www.idbrtca.org.in)
- As of Sept 2014, approx. 8 Million DSCs have been issued







## **Certificate Issuance Process**













# **Types of Certificates**









- Signing Certificate
  - Issued to a person for signing of electronic documents
- Encryption Certificate
  - Issued to a person for the purpose of Encryption;
- SSL Certificate
  - Issued to a Internet domain name (Web Servers, Email Servers etc...)









# Certificate Classes







## Classes of Certificates



- 3 Classes of Certificates
  - Class 1 Certificate
    - Issued to Individuals
    - Assurance Level: Certificate will confirm User's name and Email address
    - Suggested Usage: Signing certificate primarily be used for signing personal emails and encryption certificate is to be used for encrypting digital emails and SSL certificate to establish secure communication through SSL







- Class 2 Certificate
  - Issued for both business personnel and private individuals use
  - Assurance Level: Conforms the details submitted in the form including photograph and documentary proof
  - Suggested Usage: **Signing certificate** may also be used for digital signing, code signing, authentication for VPN client, Web form signing, user authentication, Smart Card Logon, Single sign-on and signing involved in eprocurement / e-governance applications, in addition to Class-I usage







### – Class – 3 Certificate

- Issued to Individuals and Organizations
- Assurance Level: Highest level of Assurance; Proves existence of name of the organization, and assures applicant's identity authorized to act on behalf of the organization.
- Suggested Usage: **Signing certificate** may also be used for digital signing for discharging his/her duties as per official designation and also **encryption certificate** may also be used for encryption requirement as per his/her official capacity





Certificate Lifecycle Management



- A Digital Signature Certificate cannot be used for ever!
- Typical Life cycle scenario of Digital Certificates
  - Use until renewal
    - Certificates are to be reissued regularly on expiry of validity (typically 2 years)
  - Use until re-keying
    - If keys had to be changed
  - Use until revocation
    - If Certificate was revoked, typically when keys are compromised or CA discovers that certificate was issued improperly based on false documents









- Key process of authenticating users and systems through use of digital certificates
- Validating a certificate requires the certificate validation logic in the PKI enabled application to perform series of checks on the different parts of the application
- The validation process performs following checks
  - Digital signature
  - Trust (Public Key verification)
  - Time (Validity of the certificate)
  - Revocation (CRL verification)
  - Format



## CRL – Certification Revocation List



- A list containing the serial number of those certificates that have been revoked
- Why they have been revoked?
  - If keys are compromised and users reports to the CA
  - If CA discovers, false information being used to obtain the certificate
- Who maintains CRLs ?

- Typically the CA's maintain the CRL







- How frequently the CRL is updated ?
  - Generally twice a day; based on CA's policies
- Is there any automated system in place for accessing the CRL?
  - OCSP





## **Certificate Extensions**



File Formats with Extensions	Description		
.CER	Contains only Public Key		
.CRT	Contains only Public Key		
.DER	Contains only Public Key		
.P12	Contains Public and Private Key		
.PFX	Contains Public and Private Key		
.PEM, .KEY, .JKS	Contains Public and Private Key		
.CSR	Certificate Signing Request		
.CRL	Certificate Revocation List		









# PKI in e-Procurement – A Case Study













CCA











## **Policy Perspective**









- UN Commission on International Trade Law (UNCITRAL) adopted the Model Law on Electronic Commerce in 1996.
  - India is a signatory to this and therefore had to revise its laws accordingly
  - Indian IT Act follows the above model.
  - To facilitate e-commerce and e-governance the IT Bill, 1999 was introduced in the Indian Parliament
  - India enacted the Information Technology Act, 2000 that made changes to facilitate e-commerce and e-governance
    - India is one of the very few countries in the world besides Singapore to enact cyber laws as early as in 2000








- UNCITRAL Model law on e-commerce focuses on two basic functions of a signature
  - To identify the author of a document and
  - To confirm that the author approved the content of that document
- Functions of Article 7 of UNCITRAL Model Law
  - Identify a person
  - Associate that person with the content of a document
  - Provide certainty as to the personal involvement of that person in the act of signing
  - Attest to the intent of a person to endorse authorship of a text;
  - Attest to the intent of a person to associate itself with the content of a document written by someone else;
  - Attest to the fact that, and the time when a person had been at a given

place\_







## Legal aspects of Digital Signature as per Indian IT Act







### Objective of the Indian IT Act 2000



- To grant legal recognition to records maintained in electronic form
- To prescribe methods for authenticating electronic records
- To establish a hierarchical trust model with a root CA at the top CCA to regulate the CAs
- To define computer system and computer network misuse and make it legally actionable







- IT Act 2000 made changes in the Law of Evidence, and provides
  - Legal recognition for electronic records and electronic signatures, which paves the way for
    - Legal recognition for transactions carried out by electronic communication
    - Acceptance of electronic filing of documents with the government agencies
    - Changes in the IPC and the Indian Evidence Act 1872 were made accordingly
    - IT Act 2000 has extra-territorial jurisdiction to cover any offense or contravention committed outside India



PKI Knowledge Dissemination Program

Authentication Method Prescribed by the Indian IT Act 2000



- The Act specifies that authentication must be by Digital Signatures based upon *Asymmetric Key Cryptography* and *Hash Functions*.
  - The National Root CA uses a 2048 bit RSA key pair
  - Other CA and end entities use 2048 bit RSA key pairs







- The IT act mandates a hierarchical Trust Model
- The IT Act provides the Controller for Certifying Authorities (CCA) to license and regulate the working of CA.

**Regulation of Certifying Authorities** 

• The CCA operates RCAI for certifying (signing) the public keys of CA's using it private key





• For a Digital Signature to have legal validity, it must derive its trust from the Root CA certificate





## IT Act 2000 on CCA and CAs



- Under the Indian Law, section 35 of the IT (Amendment) Act, 2008 deals with certification and certifying authorities
- IT Act 2000 recognizes even foreign CAs and gives the power to the CCA to decide on the same
  - CCA can also revoke the certificate for violation in any restriction or condition on which it was recognized by giving reasons in writing.



### Indian IT Act (Amended 2008)



- The term 'Digital Signature' is now superseded by a term 'Electronic Signature'
  - Electronic signature is a generalized term; while Digital signature is application of cryptographic techniques to avail a reliable electronic signature.
- A subscriber may authenticate any electronic record by **such electronic signature** or electronic authentication technique which is considered **reliable**







- The signature creation data or the authentication data are within the context in which they are used, linked to the signatory or, as the case may be, the authenticator and of no other person;
- The signature creation data or the authentication data were, at the time of signing, under the control of the signatory or, as the case may be, the authenticator and of no other person;
- Any alteration to the electronic signature made after affixing such signature is detectable;
- Any alteration to the information made after its authentication by electronic signature is detectable;



## IT Act - Digital Signature



- A 'Digital Signature' means an electronic signature created by transforming a data message using a message digest function and encrypting the resulting transformation with an asymmetric cryptosystem using the signer's private key, such that any person having the initial untransformed data message, the encrypted transformation, and the signer's corresponding public key can accurately determine:
  - (i) transformation was created using the private key that corresponds to the signer's public key; and
  - (ii) whether the initial data message has been altered since the transformation was made.







## Present Digital Signature & PKI Implementations in India





# **PKI enabled Applications**



1	e-Invoice	(B2C)
2	e-Tax Filing	(G2C)
3	e-Customs	(G2B)
4	e-Passport	(G2C) - Presently in India, the Ministry of External Affairs has started issuing e-Passports in Karnataka state with the fingerprints and the digital photo of applicant
5	e-Governance	<b>Bhoomi (G2C)</b> a PKI enabled registration and Land Records Services offered by Govt. of Karnataka to the people. All the land records and certificates issued are digitally signed by the respective officer
6	e-Payment	<b>(B2B)</b> - In India, currently between banks fund transfers are done using PKI enabled applications whereas between customers and vendors such as online shopping vendor the payment is done through SSL thereby requiring the vendor to hold DSC )



### **OF PKI enabled Applications**



7	e-Billing	(B2C) - The electronic delivery and presentation of financial
		statement, bills, invoices, and related information sent by a
		company to its customers)
8	e-Procurement	G2B, B2B
9	e-Insurance	<b>(B2C) -</b> Presently the users are getting the E-Premium
	Service	Receipts etc. which is digitally signed by the provider







- DGFT Clearance of goods are now initiated by exporters through push of a button and in their offices;
  - Previously it used to take days; and requests are now cleared within 6 hours
- Indian Patent office has implemented e-filing of patents and allows only use of Class-3 Certificates
  - Around 30% of e-filing of patents is happening now, among the total filings.







- PKI Knowledge Dissemination Program
  - An effort to spread awareness and build competencies in the domain across the country
- PKI Body of Knowledge
  - To develop a BoK with inputs from various sections of users
    - Researchers Algorithms and new directions in PKI
    - Developers PKI Administration and implementation issues
    - Policy Makers Laws
    - End Users and Applications







- PKI is an ecosystem comprising of Technology, Policy and Implementations
  - Digital Signatures provide Authenticity, Integrity, and Non-Repudiation for electronic documents & transactions
  - Asymmetric Key system enables Confidentiality
- General Conventions
  - Signing Private Key of the Signer
  - Verification Public Key of the Signer
  - Encryption Public Key of the Receiver
  - Decryption Private Key of the Receiver







- PKI and Digital Signatures have been transforming the way traditional transactions happen
- PKI Ecosystem has the potential to usher
  - Transparency
  - Accountability
  - Time, Cost & Effort-savings
  - Speed of execution and to be an integral part of our
    Digital Identity









- Cryptography and Network security Principles and Practice by William Stallings
- Applied Cryptography: Protocols, Algorithms, and Source Code in C by Bruce Schneier
- Handbook of Applied Cryptography, by Alfred Menezes and Paul Van Oorschot
- Ryder, Rodney D, Guide to Cyber Laws, 3rd Edition, Wadhwa & Company, New Delhi
- Digital Certificates: What are they?: http://campustechnology.com/articles/39190\_2
- Digital Signature & Encryption: http://www.productivity501.com/digital-signaturesencryption/4710/
- FAQ on Digital Signatures and PKI in India http://www.cca.gov.in/cca/?q=faq-page
- Controller of Certifying Authorities www.cca.gov.in
- More Web Resources
  - For events, slides and Discussions: www.seekha.in/event/pki
  - Social Media:













# Thank You pki@cdac.in



